openIDL - TEST Data Requirements TEST

Discussion notes:

Grouping	ID	Date	Requirement
Data and Data Integrity	D.1	5/23/2022	Data contained in the carrier data store will conform to OpenIDL data model standards
Data and Data Integrity	D.2	6/1/2022	OpenIDL data model standards shall exist for all Property & Casualty lines of business except Workers Compensation
Data and Data Integrity	D.3	5/23/2022	Minimal data attributes to be available in carrier data store shall consist of the "Day 1" OpenIDL data model fields, other attributes in the OpenIDL data model are populated at the option of the carrier
Data and Data Integrity	D.4	5/23/2022	Data shall consist of policy and loss transactions over the course of the policy term and lifetime of any associated claims based on source system activity
Data and Data Integrity	D.5	5/23/2022	Data shall be current to the Prior Month + 45 days
Data and Data Integrity	D.6	5/23/2022	Companies shall maintain data in the carrier data store for 5 prior years plus current year
Data and Data Integrity	D.7	6/1/2022	All data contained in the carrier data store is soley owned and controlled by that carrier
Data and Data Integrity	D.8	6/1/2022	Data shall remain accurate as of a point in time and may be corrected over time if errors in the transmission of data occurs with no obligation to restate prior uses of the data
Data and Data Integrity	D.9	6/1/2022	OpenIDL shall maintain an edit package to be available and used by carriers to test conformance to data model standards and data point interactions similar to the functioning of the AAIS SDMA portal
Data and Data Integrity	D.10	5/23/2022	Data must pass through OpenIDL edit package and be within 5% error tolerance per line and state based similarly to acceptance by AAIS through SDMA portal
Data and Data Integrity	D.11	6/1/2022	The OpenIDL data model standards will foster effective and efficient data extractions such that queries of data can be satisfied within 24 hours of commitment to participate in an information request
Data and Data Integrity	D.12	6/1/2022	Any changes NAIC required fields to the OpenIDL data model will require a minimum of 18 months notice for carriers to conform
Information Requests	IR.1	6/1/2022	Requests for information shall be specific in detail and communicated through a secured protocol
Information Requests	IR.2	6/1/2022	Forum shall be established for carriers and regulators to discuss and agree to intent and interpretation of information request
Information Requests	IR.3	6/1/2022	Request for information shall be for aggregated information only, no individual policy, claim, or personally identified information shall be requested or honored
Information Requests	IR.4	6/1/2022	information requests shall identify who has access to the private analytics node

Information Requests	IR.5	5/23/2022	Information requests shall define timeframes for data to be included in the aggregation
Information Requests	IR.6	5/23/2022	Information requests shall define the attributes to be used in aggregation
Information Requests	IR.7	5/23/2022	Information requests shall define the logic for extracting and aggregating data
Information Requests	IR.8	5/23/2022	Information requests shall identify and define the calculations to be used in aggregations, analysis, and reporting
Information Requests	IR.9	5/23/2022	Information requests shall define the specific use of the information
Information Requests	IR.10	6/1/2022	Information requests shall define the permitted accessors to the information and users of data
Information Requests	IR.11	5/23/2022	Information requests shall communicate the proportion of individual carrier information to the population of data in the extraction prior to final commitment to participate
Information Requests	IR.12	5/23/2022	Information requests shall be for one time use only. Additional uses for data will require a new request.
Information Requests	IR.13	6/1/2022	Information requests shall identify the path information will flow from its raw form through final reporting (e.g. carrier data store to private analytics node to Multi-Carrier aggregation mode to Regulator)
Information Requests	IR.14	6/1/2022	Information requests shall identify the form information will flow from its raw form through final reportings (raw data; carrier summarized aggregated and anonymized data; reported data)
Information Requests	IR.15	5/23/2022	Information requests have an expiration date and time from which consent is needed, if applicable
Information Requests	IR.16	6/1/2022	All requests for information, its approval, the disposition of data from its raw form through final reporting shall be tracked, recorded and archived within OpenIDL
Information Requests	IR.17	5/23/2022	Carriers who participate in information requests shall receive a copy of the final information presented as well as their individual carrier results
Information Requests	IR.18	6/1/2022	Carriers decide in which information requests they will participate
Information Requests	IR.19	6/1/2022	Carriers must provide an affirmative response prior to any information being extracted to the private analytics mode
Information Requests	IR.20	5/23/2022	Final reports shall be archived by OpenIDL for 3 years
Access and Security	AS.1	5/23/2022	Carrier's raw data will be "walled off" from other entities with access only through permissioned protocols
Access and Security	AS.2	6/1/2022	Carriers raw data shall not leave its control - a secured limited access "private analytics node" may be established for processing information requests
Access and Security	AS.3	6/1/2022	If multiple information requests are being processed at the same time, separate "private analytics nodes" with separate access shall be employed
Access and Security	AS.4	6/1/2022	If multiple information requests are being processed at the same time, the data for each request will be segregated
Access and Security	AS.5	6/1/2022	Carrier data may be transmitted to a private analytics node only as the result of an approved data request via a permissioned access protocol
Access and Security	AS.6	5/23/2022	Carrier data may be transmitted to a private analystics node that has been aggregated and anonymizated through a secured protocol
Access and Security	AS.7	6/1/2022	Carrier data in the private analytics node shall only be used for the purposes for which permissioned access has been granted
Access and Security	AS.8	6/1/2022	Carrier data in the private analystics node shall be immediately purged upon completion of the processing for which permissioned access was granted
Access and Security	AS.9	5/23/2022	No Personally identifiable information (PII) data shall be transmitted

Access and Security	AS. 10	5/23 /2022	No altering or embellishing data including appending outside data is permitted throughout the processing of the information request unless approved by carrier
Access and Security	AS. 11	5/23 /2022	No changes to request, attributes used, extraction patterns, accessors, users, or specific use of the data is permitted post consent
Access and Security	AS. 12	5/23 /2022	Only authorized approvers may commit carrier to a data request
Access and Security	AS. 13	5/23 /2022	Data request communication shall be through a communications protocol within OpenIDL and archived within OpenIDL
Access and Security	AS. 14	5/23 /2022	Individual carrier contribution to a data request will not exceed 15% of the population of premium, losses, exposures, etc. for a given information request
Access and Security	AS. 15	6/1 /2022	OpenIDL is responsible for fulfilling multi-carrier information requests including extraction patterns, aggregations and formatting of final reports
Communication	C.1	6/1 /2022	All requests for information via OpenIDL will be through a secured communications portal within OpenIDL
Communication	C.2	6/1 /2022	All communications will be written (electronic) and be archived by OpenIDL for 10 years
Communication	C.3	6/1 /2022	A non-response to a request for information will be considered a decline to participate
Communication	C.4	6/1 /2022	Requests for information must come from an authorized representative of the requesting body
Communication	C.5	6/1 /2022	Requests for information must state the regulatory authority for the information being sought
Communication	C.6	6/1 /2022	Agreement to participate in a request for information is conditioned on OpenIDL providing the carrier the proportion of data that carrier is providing to the population of data
Communication	C.7	6/1 /2022	Final agreement to participate in a request for information is valid once received by the OpenIDL communications portal
Communication	C.8	6/1 /2022	Final agreement to participate may be recinded up to an hour after final agreement is received by the communciations portal to affirm participation